



Why Interactive Brokers?

Interactive Brokers (“IB”) offers superior technology and lower trading costs that can result in greater returns. For 31 years, IB has been building direct access trading technology that delivers real advantages to professionals worldwide.

With consolidated equity capital that exceeds US \$3.5 billion, IB and its affiliates exceed 700,000 trades per day, and handle 14.1% of the world's equity options volume.

Premier Trading Technology

- Direct access to options, futures, forex, stocks and bonds on over 70 market centers worldwide from a single IB Universal Account.
- Real-time risk management including a portfolio margin system, which allows increased leverage with greater safety.
- Market-maker designed Trader Workstation optimizes trading speed with a single multi-product spreadsheet interface offering real-time streaming quotes and quick click order entry.

Best Trade Execution & Trade Cost

- IB SmartRoutingSM is designed to search for the best price available at the time of an order, and unlike other routers, dynamically routes and re-routes all or parts of an order to achieve optimal execution. According to The Transaction Auditing Group (TAG), a third-party provider of audit services, Interactive Brokers' customer equity options orders were improved 14.85% of the time vs. an industry improvement rate of 0.57%.
- IB has automated every aspect of the trade process in-house, enabling it to offer ultra **low commissions**, lower margin rates and **higher interest** paid.

Strength and Security Facts about Interactive Brokers Group

- Rated **Investment Grade** by S&P.
- Customers are **protected by \$30 million of SIPC and Lloyd's of London** securities account protection.
- Currently holds \$3.5 billion in equity capital.
- Handles over 700,000 trades per day on US exchanges.
- Memberships on over 70 exchanges, market centers, and clearing corporations around the world.
- IB does not hold any CDO or Subprime Debt on its balance sheet.
- With the exception of foreign exchange, IB trades only exchange listed products that are cleared through central clearing houses and are marked daily to external closing prices disseminated by the exchanges.

Investment Policy

As a regulated broker, IB is subject to SEC and CFTC regulations on investment of customer funds. Permissible investment vehicles include bank deposits and a variety of top-rated government securities and related instruments. IB's effective investment policy is more stringent than this, reflecting a risk-averse philosophy. IB only invests customer funds in government securities and repos, cash deposits in bank accounts at the largest banks and the triple A-rated Money Market Funds (in which we invest less than 2% of customer assets). IB's investment policy is very conservative and these strict policies when investing client money minimize exposure in uncertain credit environments.